

# CUSTODY SURVEY 2007

The matrices below summarise information on local offices in Europe and services offered by the custody companies that participated in the *Funds Europe Custody Survey 2007*

## OTHER SERVICES AND GEOGRAPHIES:

<b>Brown Bros Harriman</b>	Infomediary® data infrastructure solutions.
<b>CACEIS</b>	Trustee services, regulatory reporting, tax reclaim, fund structuring, fund accounting, automated pooling, financial reporting, fund unit pricing, daily, intra-daily and real-time valuations, hedge fund and FOHF valuations, multiple share class, multiple pricing, shareholder record keeping, shareholder reporting, company secretary service, virtual pooling, cloning, dealing room functions, funds dealing.
<b>Citi</b>	Also present in Cyprus, Czech Republic, Hungary, Romania, Slovak Republic.
<b>HSBC SS</b>	Alternative Fund Administration, broker custody *, outsourcing, derivatives and futures clearing *, treasury management (including outsourcing), equity and bond execution *, liquidity funds *, transaction cost measurement (* = service offered in conjunction with HSBC's Corporate, Investment Banking and Markets or HSBC Investments divisions).
<b>JPMorgan WSS</b>	Foreign exchange
<b>KAS Bank</b>	Enterprise risk management for pension funds; cash flow mapping; compliance monitoring; performance measurement; univurses; attribution and risk analysis; external and regulatory reporting; currency overlay; duration overlay; asset rebalancing; investment pooling; defined contribution schemes; order execution.
<b>Northern Trust</b>	Foreign exchange, corporate actions, income, risk and performance services, on-line reporting and accounting, market information, unitisation services, regulatory reporting, multinational services, trade execution analysis, derivatives clearing, reporting and processing, investment manager outsourcing and pooling solutions.
<b>SGSS</b>	Complex OTC products pricing and middle-office, risk attribution, pan-European paying and representative agency.

### CUSTODIAN BANKS IN EUROPE – SERVICES OFFERED IN EUROPE

Custodian bank	Securities lending	Equity finance	Cash management	Collateral management	Prime brokerage	Tax reclamation	Commission recapture	Performance attribution	Proxy voting	Compliance monitoring	Fund admin services	Transfer agency	Distribution support	Transition management
BNY Mellon	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Brown Brothers Harriman	Yes		Yes			Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
CACEIS	Yes	Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Citi	Yes	Yes	Yes	Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes		Yes
HSBC SS	Yes	Yes	Yes	Yes		Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes
JPMorgan WSS	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		Yes
KAS Bank	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		Yes
Northern Trust	Yes		Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes		Yes
SGSS	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
State Street	Yes	Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes

### CUSTODIAN BANKS IN EUROPE – EUROPEAN OFFICE NETWORK

Custodian bank	Austria	Belgium	Bulgaria	Denmark	Finland	France	Germany	Greece	Guernsey	Ireland	Italy	Jersey	Luxembourg	Netherlands	Poland	Portugal	Spain	Sweden	Switzerland	UK
BNY Mellon		Yes				Yes	Yes			Yes	Yes		Yes	Yes			Yes			Yes
Brown Brothers Harriman										Yes			Yes						Yes	Yes
CACEIS		Yes				Yes	Yes			Yes			Yes	Yes					Yes	
Citi	Yes	Yes				Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
HSBC SS						Yes	Yes	Yes	Yes	Yes	Yes		Yes						Yes	Yes
JPMorgan WSS						Yes	Yes			Yes	Yes		Yes	Yes			Yes		Yes	Yes
KAS Bank							Yes							Yes						Yes
Northern Trust									Yes	Yes		Yes	Yes	Yes						Yes
SGSS						Yes	Yes	Yes		Yes	Yes		Yes		Yes		Yes		Yes	Yes
State Street	Yes	Yes				Yes	Yes			Yes	Yes		Yes	Yes					Yes	Yes